# CURRICULUM VITAE Thai Hung, Le

APPLIED ARTIFICIAL INTELLIGENCE INSTITUTE (A2I2), DEAKIN UNIVERSITY GEELONG WAURN PONDS CAMPUS, GEELONG, VIC 3220 EMAIL: THAI.LE@DEAKIN.EDU.AU

HOMEPAGE: HTTPS://THAIHUNGLE.GITHUB.IO

BLOGS: <u>HTTPS://HUNGLEAI.SUBSTACK.COM</u>

PHONE: +61 3 524 79383

#### **RESEARCH STATEMENT**

My research focuses on pioneering advancements in deep learning, reinforcement learning, and artificial memory to develop *robust, generalizable and human-like AI solutions* for long-term sequence modeling and decision-making. I have made significant contributions in the following areas:

1. Deep Neural Network Models with Artificial Neural Memory:

- Created novel architectures that incorporate artificial neural memory, leading to breakthroughs in multi-modal and generative AI (e.g., <u>KDD18</u>, <u>NeurIPS18</u>, and <u>ICLR22</u>).
- Developed foundational theories for memory operations, enabling more effective learning and reasoning in neural networks (e.g., <u>ICLR19</u>, <u>ICML20</u>, and <u>ICLR23</u>).
- 2. General-Purpose Neural Computers:
- Investigated the design and implementation of neural computers akin to Universal Turing Machines, capable of handling diverse tasks such as continual learning, machine reasoning and reinforcement learning (e.g., ICLR20, ICML22).
- Devised a general memory module that enhances the generalization and reasoning capabilities of various deep learning models from small RNNs to giant LLMs (e.g., <u>Preprint24</u>).
- 3. Memory-Based Reinforcement Learning Agents:
- Designed agents that leverage memory to improve decision-making in dynamic environments (e.g., <u>NeurIPS21</u>, <u>AAAI22</u>, and <u>AAMAS24</u>).
- Developed memory-based optimization approaches to improve online reinforcement learning (e.g., <u>NeurIPS22</u>)

I have applied these techniques to time-series, dialogue systems, healthcare, material science, robotics and gaming applications, demonstrating a commitment to advancing AI capabilities across various domains through innovative research and practical applications.

In my short research career spanning six years, including two as a Ph.D. candidate, my research has significantly influenced the ML/AI domain with **36 papers** published in peer-reviewed journals and conferences. Among these, **11 papers are the first-authored**, over 90% of which are in top-tier conferences including NeurIPS, ICLR and ICML.

Starting from August 2018, my scholarly contributions have garnered **497 citations**, reflecting in an author **h-index of 13**. This accomplishment has led to an **m-index of 2.2**, calculated as the h-

index divided by the number of years active since my initial publication. Such a metric is indicative of "exceptional scientists typically found at leading universities and major research labs" (J. E. Hirsch, PNAS, 2015). My research has diverse applications, including time-series prediction (6 papers), healthcare (3 papers), reinforcement learning (12 papers), natural language processing (10 papers), and computer vision (5 papers).

Beyond publications, I've delivered **4 tutorials and 4 invited talks** at international venues such as AAMAS, KDD, ad IJCAI, sharing my methodologies and insights. As a senior committee member for **6 AI/ML conferences**, including NeurIPS and ICLR, I contribute to the rigorous selection process, shaping the future of AI/ML research. My commitment to open-source is demonstrated by <u>9 code repositories</u>, which have collectively received over 100 forks and stars, reflecting my dedication to knowledge sharing and community collaboration.

I was offered a prestigious tenure position as a Research Fellow at the Applied AI Institute at Deakin University in September 2019, a rare honor given as I completed my PhD in just two years. In 2022, I was promoted to **tenure-track Research Lecturer**, equivalent to Assistant Professor in the US.

# **EMPLOYMENT**

Spring, 2022 - now

Research Lecturer, Deakin University, Australia

- Designed, supervised and implemented research projects in deep learning, reinforcement learning, and large language models. Published 21 papers, with 80% appearing in top-tier venues such as NeurIPS, ICLR, and ICML.
- Mentored 6 Ph.D. students, 2 of whom have successfully completed their degrees. Their collective work resulted in 9 published papers with outstanding outcomes.
- Collaborated with interdisciplinary research teams. Successfully secured 1 research grant valued at \$254,200 AUD.

Fall, 2019 - spring, 2022 Associate Research Fellow, *Deakin University, Australia* Led and implemented research projects in reinforcement learning, and memory-augmented neural networks. Published 9 papers, including 4 as the primary author, published in prestigious venues such as NeurIPS, ICLR, and ICML. One paper is top 1% in ICLR 2019.

• Received the prestigious Alfred Deakin Medal for Doctoral Thesis, one of only four awarded across the entire university.

Spring, 2018 - summer, 2018 Graduate Teaching Assistant, Deakin University, Australia

- Led practical sessions for Data Science undergraduates (SIT-112) at Deakin University.
- Delivered teaching materials and graded final exams for the course.

# Fall, 2017 - fall, 2019 Graduate Researcher, *Deakin University, Australia*

- Led and implemented research projects in memory-augmented neural networks, leading to publication of 6 papers (5 as first author) in top venues like NeurIPS, ICLR and KDD.
- Secured a competitive annual PhD research grant of \$10,000 AUD.

Spring, 2017 - summer, 2017 AI Researcher, Cinnamon AI LAB, Vietnam

- Led research projects on automatic document reading and understanding using Convolutional Recurrent Neural Networks (CRNNs).
- Secured two lucrative contracts (>\$100,000 USD) with major Japanese clients.

Fall 2015 - winter, 2017 ML Researcher, Viettel Research and Development Institute, Vietnam

- Implemented advanced tracking systems using extended Kalman filters
- Delivered autonomous interception using Polynomial Regression, a solution that generated \$7,000 USD in monthly labor cost savings.
- Recognized with the Innovation Award of the Year by Viettel Group.

## **EDUCATION**

2017 - 2020

Deakin University, Australia

**Doctor of Philosophy,** Information Technology Thesis: *Memory and Attention in Deep Learning*. Supervisor: Prof. Truyen Tran and Prof. Svetha Venkatesh Score: 3/3 Clear Passes

2010 - 2015Hanoi University of Science and Technology (HUST), VietnamBachelor of Engineering, Information Technology. Honors Program (Centre for the Gifted).Thesis: Fuzzy Clustering Using Linguistic-Valued Exponent.Supervisor: A. Prof Khang D. TranScore: 10/10

# HONORS & AWARDS

2021	<b>Best Paper of KDD'21 Workshop: Document Intelligence</b> HYCEDIS: HYbrid Confidence Engine for Deep Document Intelligence System.
2021	<b>Global Talent Program</b> Awarded Distinguished Talent Visa (permanent residency) in Australia.
2020	Alfred Deakin Medal for Doctoral Thesis Memory and attention in deep learning
2016	<b>Viettel RD Innovation Award of the Year</b> Polynomial regression in digitizing scanned military graphs Optimal interceptor trajectory under constraints
2015	Honors Distinction Thesis: "Fuzzy clustering using linguistic-value exponent"
2013	Research Contest Award

Awarded by HUST for student research project: "Vietnamese license plate recognition using tree-based multi-labels SVM algorithms"

2010 Mathematics Prizes Second Prize at American Regions Mathematics League - ARML

# **INVITED TALKS & TUTORIALS**

2024	AAMAS, Aukland, New Zealand Tutorial: <u>Unlocking Exploration: Self-Motivated Agents Thrive on Memory-</u> <u>Driven Curiosity</u>
2022	AJCAI, Perth, Australia Tutorial: <u>Memory-Based Reinforcement Learning</u>
2022	FPT Software AI Center, Vietnam (virtual seminar) Talk: Memory for Lean Reinforcement Learning
2021	<b>KDD, Singapore (virtual seminar)</b> <b>Tutorial:</b> <u>From deep learning to deep reasoning</u>
2021	IJCAI, Canada (virtual seminar) Tutorial: <u>Neural machine reasoning</u>
2018	<b>Cinnamon AI Marathon, Vietnam</b> <b>Talk:</b> Generalization for Good: A story on OCR evolution
2017	<b>Topdev Vietnam Mobile Day, Vietnam</b> <b>Talk:</b> Theory behind conversational agents
2017	Codecamp, Vietnam Talk: AI Bot landscape

# **SUPERVISION**

2024	Associate Supervisor
	PhD. Candidate Giang Do. Improving Foundation Models by addressing the
	binding problem. 2023-2026
2023	Principle Supervisor
	PhD. Candidate Dai Do. Efficient and Safe Large Language Models with
	Reinforcement Learning. 2023-2026
2023	Principle Supervisor
	PhD. Candidate Hoang Nguyen. Causal Reinforcement Learning. 2023-2026
2022	Associate Supervisor
	PhD. Candidate Ragja Palakkadavath. Domain Generalization for Algorithmic
	Robustness and Fairness. 2022-2025
2021	Associate Supervisor (completed)

PhD. Candidate Kha Pham. *Memory for Fast Adaptation in Neural Networks*. 2021-2024

2021 Associate supervisor (completed) PhD. Candidate Bao Duong Nguyen. *Advanced Machine Learning for Causal Discovery*. 2021-2024

### **PROFESSIONAL SERVICES**

#### Journals

Machine Learning, Knowledge and Information Systems (KAIS), Transactions on Knowledge and Data Engineering (TKDE), Nature Scientific Reports, ACL Rolling Review (ARR).

#### Conferences

Senior Program Committee: AAAI 2023-2025 Program Committee: ACML 2018-2020, NeurIPS 2020-2024, ICLR 2021-2024, ICML21-2024

#### **GRANTS**

- Kerri Morgan, Frank Jiang, Julien Ugon, Sergiy Shelyag, Hung Le, Nicholas Parsons, Govinda Poudel, Alex Hocking, "Elucidating Human Brain Connectivity Through Deep Learning and Network Analysis.", Mini ARC Analog Programme- MAAP, \$25,000 AUD + 1 PhD scholarship (tuition fee + stipend for 3 years), which is equivalent to \$254,200 AUD, 2021-2023.
- 2. Annual PhD Research Grant, Applied AI Institute, \$10,000 AUD, 2019.

## PATENTS

1. Character Recognition Apparatus, Character Recognition Method, and Program. JP-2021022291-A. Filing Date: 30-Jul-2019.

#### **PUBLICATIONS**

#### **Research Statistics**

- Total number of papers: 36.
- Total number of A\* papers: 23 (63%)
- Number of first-author papers: 11 (30%):
  - + Top-1 AI/ML A\* NeurIPS: 3 papers (27%)
  - + Top-2 AI/ML A\* ICLR: 2 papers (18%)
  - + Top-3 AI/ML A\* ICML: 2 papers (18%)
  - + Other AI/ML A\*: 3 papers (27%)
- Oral/Spotlight: 5 papers (45%)

#### Peer-reviewed journals and conferences

1. Large Language Model Prompting With Episodic Memory. Van Dai Do, Quan Tran, Svetha Venkatesh and **Hung Le**. Accepted at ECAI, 2024.

- Revisiting the Dataset Bias Problem from a Statistical Perspective. Kien Do, Dung Nguyen, Hung Le, Thao Le, Dang Nguyen, Haripriya Harikumar, Truyen Tran, Santu Rana and Svetha Venkatesh. Accepted at ECAI, 2024
- 3. VRDSynth: Synthesizing Programs for Multilingual Visually Rich Document Information Extraction. Thanh-Dat Nguyen, Tung Do-Viet, Hung Nguyen-Duy, Tuan-Hai Luu, **Hung Le**, Bach Le, Patanamon (Pick) Thongtanunam. Accepted at ISSTA, 2024.
- 4. *Variable-Agnostic Causal Exploration for Reinforcement Learning*. Minh Hoang Nguyen, **Hung Le**, Svetha Venkatesh. Accepted at ECML-PKDD, 2024.
- 5. Diversifying Training Pool Predictability for Zero-shot Coordination: A Theory of Mind Approach. Dung Nguyen, **Hung Le**, Kien Do, Sunil Gupta, Svetha Venkatesh and Truyen Tran. Published in IJCAI, 2024.
- 6. *Beyond Surprise: Improving Exploration through Surprise Novelty*. **Hung Le,** Kien Do, Dung Nguyen and Svetha Venkatesh. Published in AAMAS (Oral), 2024.
- 7. Universal Graph Continual Learning. Thanh Duc Hoang, Do Viet Tung, Duy-Hung Nguyen, Bao-Sinh Nguyen, Huy Hoang Nguyen, and **Hung Le**. Published in Transactions on Machine Learning Research (TMLR), 2023.
- 8. Balanced Q-learning: Combining the Influence of Optimistic and Pessimistic Targets. Thommen George Karimpanal, **Hung Le**, Majid Abdolshah, Santu Rana, Sunil Gupta, Truyen Tran, Svetha Venkatesh. Published in Artificial Intelligence, 2023
- 9. *Improving Domain Generalization with Interpolation Robustness*. Ragja Palakkadavath, Thanh Nguyen-Tang, **Hung Le**, Svetha Venkatesh, Sunil Gupta. Accepted in ACML, 2023.
- 10. Social Motivation for Modelling Other Agents under Partial Observability in Decentralised *Training*. Dung Nguyen, **Hung Le**, Kien Do, Svetha Venkatesh, Truyen Tran. Accepted at IJCAI, 2023.
- 11. *Improving Out-of-distribution Generalization with Indirection Representations*. Kha Pham, **Hung Le**, Man Ngo, Truyen Tran. Published in ICLR, 2023.
- 12. *Memory-Augmented Theory of Mind Network*. Dung Nguyen, Phuoc Nguyen, **Hung Le**, Kien Do, Svetha Venkatesh, Truyen Tran. Published in AAAI, 2023.
- The Application of Machine Learning in Micrometeoroid and Orbital Debris Impact Protection and Risk Assessment for Spacecraft. Shannon Ryan, Neeraj Mohan Sushma, Hung Le, Arun Kumar A V, Santu Rana, Sevvandi Kandanaarachchi, Svetha Venkatesh. Published in HVIS'22.
- 14. *Learning to Constrain Policy Optimization with Virtual Trust Region*. **Hung Le**, Thommen Karimpanal George, Majid Abdolshah, Dung Nguyen, Kien Do, Sunil Gupta, Svetha Venkatesh. Published in NeurIPS, 2022 (Spotlight).
- 15. Functional Indirection Neural Estimator for Better Out-of-distribution Generalization. Kha Pham, Hung Le, Man Ngo, Truyen Tran. Published in NeurIPS, 2022.
- 16. Momentum Adversarial Distillation: Handling Large Distribution Shifts in Data-Free Knowledge Distillation. Kien Do, Hung Le, Dung Nguyen, Dang Nguyen, HARIPRIYA HARIKUMAR, Truyen Tran, Santu Rana, Svetha Venkatesh. Published in NeurIPS, 2022.
- 17. *HYCEDIS: HYbrid Confidence Engine for Deep Document Intelligence System*. Bao-Sinh Nguyen, Quang-Bach Tran, Tuan-Anh Nguyen Dang, Duc Nguyen, **Hung Le**. Published in ICONIP, 2022.
- Improving Document Image Understanding with Reinforcement Finetuning. Bao-Sinh Nguyen, Dung Tien Le, Hieu M. Vu, Tuan-Anh D. Nguyen, Minh-Tien Nguyen, Hung Le. Published in ICONIP, 2022.

- 19. *Towards Effective and Robust Neural Trojan Defenses via Input Filtering*. Kien Do, Haripriya Harikumar, **Hung Le**, Dung Nguyen, Truyen Tran, Santu Rana, Dang Nguyen, Willy Susilo, Svetha Venkatesh. Published in ECCV, 2022.
- 20. Neurocoder: General-Purpose Computation Using Stored Neural Programs. Hung Le, Svetha Venkatesh. Published in ICML, 2022 (Spotlight).
- 21. Make The Most of Prior Data: A Solution for Interactive Text Summarization with Preference Feedback. Duy-Hung Nguyen, Nguyen Viet Dung Nghiem, Bao-Sinh Nguyen, Tien Dung Le, Minh-Tien Nguyen, Shahab Sabahi, Hung Le. Published in NAACL-Findings, 2022.
- 22. *Generative Pseudo-Inverse Memory*. Kha Pham, **Hung Le**, Man Ngo, Truyen Tran, Bao Ho, Svetha Venkatesh. Published in ICLR, 2022.
- 23. *Learning Theory of Mind via Dynamic Traits Attribution*. Dung Nguyen, Phuoc Nguyen, **Hung Le**, Kien Do, Truyen Tran, Svetha Venkatesh. Published in AAMAS, 2022.
- 24. *Episodic Policy Gradient Training*. **Hung Le**, Thommen Karimpanal George, Majid Abdolshah, Kien Do, Dung Nguyen, Svetha Venkatesh. Published in AAAI, 2022 (Oral).
- 25. *Model-Based Episodic Memory Induces Dynamic Hybrid Controls*. **Hung Le**, Thommen Karimpanal George, Majid Abdolshah, Truyen Tran, Svetha Venkatesh. Published in NeurIPS, 2021.
- 26. DeepProcess: Supporting Business Process Execution Using a MANN-based Recommender System. Asjad Khan, Aditya Ghose, Hoa Dam, **Hung Le**, Truyen Tran, Kien Do. Published in ICSOC, 2021.
- 27. Robust Deep Reinforcement Learning for Extractive Legal Summarization. Duy-Hung Nguyen, Bao-Sinh Nguyen, Nguyen Viet Dung Nghiem, Dung Tien Le, Mim Amina Khatun, Minh-Tien Nguyen, **Hung Le**. Published in ICONIP, 2021.
- 28. *From Deep Learning to Deep Reasoning (Tutorial)*. Truyen Tran, Vuong Le, Hung Le, Thao M Le. Published in KDD, 2021.
- 29. *A New Representation of Successor Features for Transfer across Dissimilar Environments.* Majid Abdolshah, **Hung Le**, Thommen George Karimpanal, Sunil Gupta, Santu Rana, Svetha Venkatesh. Published in ICML, 2021 (Spotlight).
- 30. *Self-attentive Associative Memory*. **Hung Le**, Truyen Tran, Svetha Venkatesh. Published in ICML, 2020.
- 31. LODENet: A Holistic Approach to Offline Handwritten Chinese and Japanese Text Line *Recognition.* Huu Tin Hoang, Chun-Jen Peng, Hung Tran, **Hung Le**, Huy Hoang Nguyen Published in ICPR, 2020.
- 32. *Neural Stored-program Memory*. **Hung Le**, Truyen Tran, Svetha Venkatesh. Published in ICLR, 2020.
- 33. *Learning to Remember More with Less Memorization*. **Hung Le**, Truyen Tran, Svetha Venkatesh. Published in ICLR, 2019 (Oral).
- 34. *Variational Memory Encoder-Decoder*. **Hung Le**, Truyen Tran, Thin Nguyen, Svetha Venkatesh. Published in NeurIPS, 2018.
- 35. *Dual Memory Neural Computer for Asynchronous Two-view Sequential Learning*. **Hung Le**, Truyen Tran, Svetha Venkatesh. Published in KDD, 2018.
- 36. *Dual Control Memory Augmented Neural Networks for Treatment Recommendations*. **Hung** Le, Truyen Tran, Svetha Venkatesh. Published in PAKDD, 2018.

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